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|---|------------------|----------|--------------|----------------|--------------------------|--|
| TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c)) | | | | | Docket No. 112701-443 | |
| In Re Application Of: Hug, K., et al. | | | | | | |
| Application No. | Filing Date | Examiner | Customer No. | Group Art Unit | Confirmation No. | |
| 10/523,272 | January 31, 2005 | Unknown | 29157 | 1761 | 5594 | |
| Title: DEVICE FOR PREPARING A BEVERAGE | | | | | | |
|  | | | | | | |
| Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 | | | | | | |
| 37 CFR 1.97(b) | | | | | | |
| 1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114. | | | | | | |
| 37 CFR 1.97(c) | | | | | | |
| 2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of: | | | | | | |
| <input type="checkbox"/> the statement specified in 37 CFR 1.97(e); | | | | | | |
| OR | | | | | | |
| <input type="checkbox"/> the fee set forth in 37 CFR 1.17(p). | | | | | | |

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
112701-443

In Re Application: **Hug, K., et al.**

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|-----------------|------------------|----------|--------------|----------------|------------------|
| 10/523,272 | January 31, 2005 | Unknown | 29157 | 1761 | 5594 |

Title: **DEVICE FOR PREPARING A BEVERAGE**



Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **02-1818** as described below.
- ☐ Charge the amount of _____
 - ☒ Credit any overpayment.
 - ☒ Charge any additional fee required.
- ☐ Payment by credit card. Form PTO-2038 is attached.

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____)

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on

09/22/05

(Date)


Signature of Person Mailing Correspondence
Heather Foster

Typed or Printed Name of Person Mailing Certificate

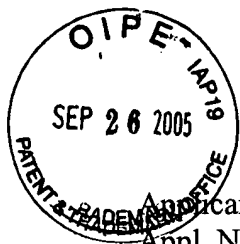
***This certificate may only be used if paying by deposit account.**

Dated: **September 22, 2005**

Signature

Robert M. Barrett
Reg. No. 30,142

CC:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Hug, K., et al.
Appl. No.: 10/523,272
Conf. No.: 5594
Filed: January 31, 2005
Title: DEVICE FOR PREPARING A BEVERAGE
Art Unit: 1761
Examiner: Unknown
Docket No.: 112701-443

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form be made during the course of examination of the above-identified application for United States patent. Pursuant to 37 C.F.R. 1.98, copies of all foreign patent documents and non-patent documents are enclosed.

U.S. PATENT DOCUMENTS

| <u>Document No.</u> | <u>Date</u> | <u>Inventor</u> |
|---------------------|---------------|-----------------|
| 4,890,653 | Jan. 2, 1990 | Sartulairi |
| 6,025,000 | Feb. 15, 2000 | Fond et al. |

FOREIGN PATENT DOCUMENTS

| <u>Document No.</u> | <u>Date</u> | <u>Country</u> |
|---------------------|---------------|----------------|
| 778 394 A | July 3, 1957 | Great Britain |
| 99/02081 | Jan. 21, 1999 | PCT |
| 0 512 148 | Nov. 11, 1992 | Europe |
| 0 602 203 | June 22, 1994 | Europe |

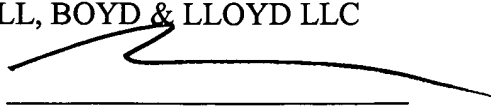
EP 0 602 203 B1 listed above is not in the English language. This case corresponds to U.S. patent number 6,025,000 which is also listed above.

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

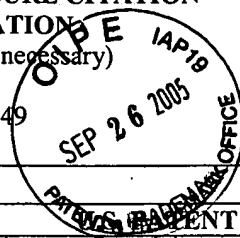
BY



Robert M. Barrett
Reg. No. 30,142

Dated: September 22, 2005

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| INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary) PTO Form 1449 | Atty Docket No. 112701-443 | Application No. 10/523,272 |
| | Applicant Hug, K., et al. | |
| | Filing Date January 31, 2005 | Group 1761 |



| PATENT DOCUMENTS | | | | | | | |
|---------------------|--|-----------------|------------------|-------------|-------|----------|----------------------------|
| Examiner's Initials | | Document Number | Publication Date | Inventor | Class | Subclass | Filing Date If Appropriate |
| | | 4,890,653 | Jan. 2, 1990 | Sartulairi | | | |
| | | 6,025,000 | Feb. 15, 2000 | Fond et al. | | | |
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| FOREIGN PATENT DOCUMENTS | | | | | | | | |
|--------------------------|--|-----------------|------------------|---------------|-------|----------|-------------|----|
| Examiner's Initials | | Document Number | Publication Date | Country | Class | Subclass | Translation | |
| | | | | | | | Yes | No |
| | | 778 394 A | July 3, 1957 | Great Britain | | | | |
| | | 99/02081 | Jan. 21, 1999 | PCT | | | | |
| | | 0 512 148 | Nov. 11, 1992 | Europe | | | | |
| | | 0 602 203 | June 22, 1994 | Europe | | | | |
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| Examiner's Initials | OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) | |
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| Examiner: | Date Considered: |
| *Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | |